UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. PM021B

Total Pages in this Submission 121

TO THE COMMISSIONER FOR PATENTS

P. O. B x 1450
Mail Stop Patent Application
Alexandria, VA 22313-1450

| | smitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application |
|--------|--|
| for ar | invention entitled: SYSTEM FOR AUTHENTICATING SELF-AUTHENTICATING DOCUMENTS |
| | |
| and in | nvented by: |
| | Bruce K. Geist and Thomas D. Hayosh |
| Į | |
| If a C | ONTINUATION APPLICATION, check appropriate box and supply the requisite information: |
| _ | ontinuation Divisional Continuation-in-part (CIP) of prior application No.: |
| | h is a: continuation Divisional Continuation-in-part (CIP) of prior application No.: |
| | h is a: |
| □ c | continuation Divisional Continuation-in-part (CIP) of prior application No.: |
| Enclo | osed are: |
| | APPLICATION ELEMENTS |
| 1.🖾 | Filing Fee as calculated and transmitted as described below |
| 2.🛛 | Specification having 50 pages and including the following: |
| a. | □ Descriptive Title of the Invention |
| b. | |
| C. | Statement Regarding Federally-sponsored Research/Development (if applicable) |
| d. | Reference to Microfiche Appendix (if applicable) |
| e. | □ Background of the Invention |
| f. | □ Brief Summary of the Invention |
| g. | |
| h. | □ Detailed Description |
| ì. | ☐ Claim(s) as Classified Below |
| j. | |

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Total Pages in this Submission

Applicati n Elements (C ntinued)

| 3. | M | Drawing(s) (wh | nen necessary as prescribed by 35 USC 113) | 10 | | | | |
|--------------------------------|-------------|--|---|----|--|--|--|--|
| J . | a. | Formal | Number of Sheets 13 | Ä | | | | |
| | b. | ☐ Informal | Number of Sheets | | | | | |
| | ٥. | | | | | | | |
| 4. | \boxtimes | Oath or Declar | ation | | | | | |
| | a. | ☐ New execu | uted (original or copy) 🛛 Unexecuted | | | | | |
| | b. | ☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional applications only) | | | | | | |
| | C. | With Powe | r of Attorney | | | | | |
| | d. | ☐ DELETION | OF INVENTOR(S) | | | | | |
| | | _ | tement attached deleting inventor(s) named in the prior application, F.R. 1.63(d)(2) and 1.33(b) | | | | | |
| 5. | | Incorporation E | By Reference (usable if Box 4b is checked) | | | | | |
| | | under Box 4b, | closure of the prior application, from which a copy of the oath or declaration is supplied is considered as being part of the disclosure of the accompanying application and is prated by reference herein. | | | | | |
| 6. | | Computer Prog | gram in Microfiche <i>(Appendix)</i> | | | | | |
| 7. | | Nucleotide and | d/or Amino Acid Sequence Submission (if applicable, all must be included) | | | | | |
| | a. | ☐ Paper Cop | y | | | | | |
| | b. | □ Computer | Readable Copy (identical to computer copy) | | | | | |
| | C. | ☐ Statement | Verifying Identical Paper and Computer Readable Copy | | | | | |
| Accompanying Application Parts | | | | | | | | |
| 8. | | Assignment Pa | apers (cover sheet & document(s)) | | | | | |
| 9. | | 37 CFR 3.73(b | o) Statement (when there is an assignee) | | | | | |
| 10. | | English Transl | ation Document (if applicable) | | | | | |
| 11. | \boxtimes | Information Dis | sclosure Statement/PTO-1449 Copies of IDS Citations | | | | | |
| 12. | | Preliminary An | nendment | | | | | |
| 13. | \boxtimes | Return Receip | t Postcard (MPEP 503) (Should be specifically itemized) | | | | | |
| 14. | | Certified copy | of Priority Document(s) (if foreign priority is claimed) | | | | | |
| 15. | \boxtimes | Certificate of N | Mailing Mailing | | | | | |
| | | First Class | Express Mail (Specify Label No.): ET285890606US | | | | | |

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Total Pages in this Submission 121

Acc mpanying Application Parts (Continued)

| 16. | ☐ Nonpublic | · | ⁻ 35 U.S.C. 122 (b)(| 2)(B)(i). Applicar | nt must attach form PT | 'O/SB/35 or | 19704 U.S 10/6263 |
|---|--|---------------------|---------------------------------|---|---|-------------|----------------------|
| 17. | Additional | Enclosures (please | identify below): | 19. 19. | <u> </u> | | 119 |
| | | F | ee Calculation and | l Transmittal | | | j |
| | | | CLAIMS A | AS FILED | | | |
| | For | #Filed | #Allowed | #Extra | Rate | Fee | · |
| Total | Claims | 17 | - 20 = | 0 | \$18 | | \$0.00 |
| Indep | . Claims | 3 | - 3 = | 0 | \$84 | | \$0.00 |
| Multip | ole Dependent | Claims (check if ap | plicable) | | | | \$0.00 |
| | | | | | BASIC FEE | \$ | 750.00 |
| OTHE | R FEE (specify | purpose) | | | | | \$0.00 |
| | | | | то | TAL FILING FEE | | 750.00 |
| | A check in the | amount of | to cover the fili | ng fee is enclose | d. | | |
| \boxtimes | The Commissioner is hereby authorized to charge and credit Deposit Account No. 19-3790 as described below. A duplicate copy of this sheet is enclosed. | | | | | | |
| ☑ Charge the amount of \$750.00 as filing fee. ☑ Credit any overpayment. ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17. ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Alfowance, pursuant to 37 C.F.R. 1.311(b). | | | | | | | |
| Dated: July 24, 2003 | | | | UNISY Unisys W Blue Bell Ph.: (215 | Rode, Reg. No. 37,226 S Corporation Vay, MS/E8-114 , Pennsylvania 19424- 5) 986-5169 5) 986-3090 | -0001 | |

Page 3 of 3

| CERTIFICATE OF MAIL Applicant(s): Bruce K. Geist a | DOCKET NO. PM021B | | | |
|--|-------------------------|---------------------------|------------------------------|--|
| Serial No. To Be Assigned | Filing Date Herewith | Examiner T Be Assigned | Group Art Unit Expenses 63.5 | |
| Invention: SYSTEM FOR | RAUTHENTICATING S | SELF-AUTHENTICATING I | DOCUMENTS \$50,000 | |

I hereby certify that this <u>U.S. Patent Application and attached papers</u> is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Director for Patents, P.O. Box 1450, Alexandria, VA 22313-14150 on <u>July 24, 2003</u>.

Michele G. McDevitt

(Type or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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("Express Mail" Mailing Label Number)